PTO/SB/08A (10-01)

Approved for use through 10/31/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB

	itute for form 1		· =	Complete if Known			
INI	FORM/	<b>ATIC</b>	ON DISCLOSURE	Application Number			
СТ	ATEM	ENIT	BY APPLICANT	Filing Date			
<b>ວ</b> າ	AICIVI	CIA I	DI APPLICANI	First Named Inventor	Kent F. Hayes, Jr.		
				Art Unit			
	. (USB 8	s many	sheets as necessary)	Examiner Name			
Sheet	1	of	2	Attorney Docket Number RSW920030235US1			

	U.S. PATENT DOCUMENTS							
Examiner	Cite		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
Initials*	No.'		MM-DD-YYYY	Applicant of Cited Document				
700		US-2003/0038172 A1	02/27/2003	Bodin et al.				
780		US-2003/0097422 A1	05/22/2003	Richards et al.				
He		US-6,389,464 B1	05/14/2002	Krishnamurthy et al.				
		US•						
		US-						
		US-						
<u></u>		US-						
<u> </u>		US-						
}	<u> </u>	US-						
		US-						
	<u> </u>	US-	<u> </u>					
		US-						
	<u> </u>	US-						
		US-						
		US-						
<u> </u>		US-						
		US-						
		US-						
		US-						
	1	l us-	l	I	1			

	FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
Initials*	No.1	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document					
Z		WO 00/78005 A2	12/21/2000	2/21/2000 PCT					
					<del> </del>	<del> </del>			
	<del> </del> —					<del> </del>			
						-			
					<u> </u>	Ļ			

Examiner Signature	Date Considered	10/08/07

\*EXAMINER: Inflight reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance end not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="www.upsto.gov">www.upsto.gov</a> or MPEP 901.04.

\*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*S Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. \*Applicant is to place a check mark here if English language Translation is

Indicated of the document under twir o distribute of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0551-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	e for form 144	-		Complete if Known		
INFOR	RMATIC	ON DI	SCLOSURE	Application Number		
STAT	EMEN'	T BY	APPLICANT	Filing Date		
• • • • • • • • • • • • • • • • • • • •		. –		First Named Inventor	Kent F. Hayes, Jr.	
	(use as man	v aboota na		Group Art Unit		
	(use as man)	y sileets as	necessary)	Examiner Name		
Sheet 2 of 2				Attorney Docket Number	RSW920030235US1	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
Z		INSPEC, AN 6433075, ARSDALL et al., "National Ignition Facility Integrated Computer Control System", Proceedings of the SPIE, The International Society for Optical Engineering, Vol. 3492, pp. 538-548, 1999.	
K		HANSON et al., "A Flexible and Recoverable Client/Server Database Event Notification System", The VLDB Journal, pp. 12-24, 1998.	
1		IBM TDB/RD, "Encapsulated Platform Independent Installation Object", RD No. 451, Article 148, pg. 1959, 11/2001.	
Re B		WEISS et al., "Goal-Oriented Software Assesment", ICSE '02, May 19-25, 2002, pp. 221-231.	

1	Examiner Signature	Del	Date Considered	10/08/07

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

INFORMATION DISCLOSURE CITATION  (Use several shieets if necessary)				Docket Number (Optional) RSW92003023	Application Number 10/8	10/803,236		
				Applicant(s) Kent F. Hayes, Jr.				
( NA J	4 -	(Use several sheets if necessar	,,	Filing Date		Group Art Unit		
	4	8/		March 18, 20	004		N/A	
W/8 TI	RADEM		U.S. P	ATENT DOCUMENTS				
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	
					,			
			<del>                                     </del>		<del>-  </del>			
	· -							
			U.S. PATENT A	PPLICATION PUBLICATIONS	<u> </u>			
*EXAMINER INITIAL	REP	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
				· · · · · · · · · · · · · · · · · · ·				
			-					
			<u> </u>					
	<del></del> -		FOREIG	N PATENT DOCUMENTS	<u> </u>	1		
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	lation NO
								Ų
· ·		· · · · · · · · · · · · · · · · · · ·						
		· · · · · · · · · · · · · · · · · · ·						
	-	<u>.                                    </u>						
		ICana Zwart UDlandhau	OTHER DO	CUMENTS (Including Auth Package", 07/2000, Research D		ertinent Pages, El		
-C		Steve Zwart, "Bloodnou	na Server Monitor	rackage , 07/2000, Research Di	isclosure 140. 4	133, Afticle 132,	, pg. 1209.	
00	<	0.500						
3	2	Mamada, R., "A Softwa Research Disclosure No	re Managing Cluste . 419, Vol. 42, Artic	ered Multi Vender Uninteruptib cle 419103.	le Power Supp	ly on Network"	, 03/1999,	
EXAMINE	R	3.18		DATE CONSIDERED	10/0	8/07		
		l if citation considered, whether of the copy of this form with next of the copy of the		nformance with MPEP Section 609 blicant.			in conform	ance and